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USPTO Form 1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE CITATION Sheet 1 of 1			003797.84617 09			Serial No. /455,806	
CITATION		<u>.</u>	Applicant(s): John L. Beez	er et al.			0.1.74
Sheet 1 of 1		TO BAD BALERO	Filing Date: December 7,	1999		Group:	2171
U.S. PATE	NT DOCUMENTS						
Examiner Initial	Patent No.	Date	Name	Class	Subclass	Filing Da	
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FOREIGN	PATENT DOCUMENT	<u>'S</u>	:	1	·		
Examiner Initial	Document No.	Date	. Country	Class	Subclass	Translati	ion
11111121					ļ <u>.</u>	YES	NO
K	EP 0 902 379 A2	03/1999	Europe	_			
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OTHER D	OCUMENTS (including	Author, Title, Date, P	Pertinent Pages, etc.)	<u> </u>			
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*EXAMINER: Ini		ot citation is in conformance with Mi	PEP 609. Draw line through citation if not i			sclude copy of	this form with



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> Title of Invention

Ebook/Active Reading: Rendering and Capturing Ink Annotations \

Application Number:

09/455806

Confirmation Number:

5592

First Named Applicant:

John Beezer

Attorney Docket Number: 003797.84617

Art Unit: Examiner: 2171

H Thai

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Search string:

(6697997 or 6585776 or 6457013 or 6370497

Technology Center 21 00

or 6266772 or 6163778 or 6038598).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
T	1	6697997	2004-02-24	Fujimura		715	501,1
TT.	2	6585776	2003-07-01	Bates et al.	-	715	3411
#1	3	6457013	2002-09-24	Saxton et al.	i 	707	101
M	4	6370497	2002-04-09	Knowles		704	1
18	5	6266772	2001-07-24	Suzuki		713	182
195	6	6163778	2000-12-19	Fogg et al.		707	10
¥	7	6038598	2000-03-14	Danneels		709	थ१

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Page 1 of 2



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In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
Harlillan	8/26/04

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Title of Invention

Ebook/Active Reading: Rendering and Capturing Ink Annotations

Application Number:

09/455806

Confirmation Number: First Named Applicant:

5592

John Beezer

Attorney Docket Number: 003797.84617

Art Unit: Examiner: 2171

Search string:

H Thai

(5822720).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
H	1	5822720	1998-10-13	Bookman et al.		204	3

Remarks

Note: Remarks are not for responding to an office action.

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SUB_TYPE=Information_Disclosure_Statement EFS_ID=61565 APP_ID=09455806 DOC_TYPE=us-ids

that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

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> Title of Invention

Ebook/Active Reading: Rendering and Capturing Appotations

Application Number:

09/455806

Confirmation Number:

5592

First Named Applicant: . John Beezer

Attorney Docket Number: 003797.84617

Art Unit:

2171

Examiner:

H Thai

Search string:

(5437295 or 6018342 or 6647534).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	-4-	5437295	1994-09-13	Agulnick et al.			
H	2	6018342	2000-01-25	Bristor		34	840
#1	3	6647534	2003-11-11	Graham	•	715	526

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Signature

Examiner Name	Date		
Hanklen	8/26/04		



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Title of Invention Ebook/Active Reading: Rendering and Capturing Ink Annotations

Application Number:

09/455806

Confirmation Number:

5592

First Named Applicant:

John Beezer

Attorney Docket Number: 003797.84617

John Deezer

Art Unit:

2171

Examiner:

H Thai

Technology Center 2100

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Search string:

(6076917 or 6154771 or 6437793).pn.

<u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
in	1	6076917	2000-06-20	Wen		347	43
m	2	6154771	2000-11-28	Rangan et al.		709	217
119	3	6437793	2002-08-20	Kaasila		345	611

Remarks

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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> Title of Invention

Ebook/Active Reading: Rendering and Capturing Ink Annotations -

Application Number:

09/455806

Confirmation Number:

5592

First Named Applicant:

John Beezer

Attorney Docket Number: 003797.84617

Art Unit:

2171

Examiner:

H Thai

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Search string:

(5680636 or 5727129 or 5838914 or 5893132 or 6049812 or 6195694 or 6199082 or 6486895 or 6549220 or RE34476 or 5471568 or 5719595

or 5940080).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
IH	1	5680636	1997-10-21	Levine et al.		715	512
	2	5727129	1998-03-10 ⁻	Barrett et al.		706	10
	3	5838914	1998-11-17	· Carleton et al.		7.09	204
	4	5893132	1999-04-06	Huffman et al.		715	5 32
	5	6049812	2000-04-11	Bertram et al.		715	312
W.	6	6195694	2001-02-27	Chen et al.		709	9 280
)

*	7	6199082	2001-03-06	Ferrel et al.	715 522
Т	8	6486895	2002-11-26	Robertson et al.	345 776
	9	6549220	2003-04-15	Hsu et al.] 3u5 854
	10	RE34476	1993-12-14	Norwood	385 186
	11	5471568	1995-11-28	Webb et al.	382 199
	12	5719595	1998-02-17	Hoddie et al.] . 345 611
Y	13	5940080	1999-08-17	Ruehle et al.] 345 611

Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document should it be applied as a reference against the claims of the present application. It is respectfully requested that the Examiner fully consider the document, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference. The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

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Hauh Mar.	8/26/64

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Substitute of form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Examiner Name Attorney Docket Number

Art Unit

Complete if Known 09/455.806 Application Number Filing Date December 7, 1999 John L. BEEZER et al. First Named Inventor 2776 2171 H. Thai 003797.84617

			Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant
raminer Inidata	No. Number - Kind Code ² (if known)		MM-DD-YYYY	Chay Downsen	Passages or Relevant Figures Appear	
St-		US- 5,473,742	12-05-1995	Polyakov et al.	·	
7		US- 5,625,833	04-29-1997	Levine et al.		
		US- 5,729,687	03-17-1998	Rothrock et al.		
-		US- 5,832,263	11-03-1998	Hansen et al.	•	
-		US- 6,018,742	01-25-2000	Herbert, III	DEGE	
-		US- 6,054,990	04-25-2000	Tran	パレトノアト	
-		US- 6,088,481	07-11-2000	Okarnoto et al.		
+-1		US- 6,118,437	09-12-2000	Fleck et al.	DEC 2.4.2003	
+		US- 6,128,007	10-03-2000	Saybold	2 2 2005	
		US- 6,239,792	05-29-2001	Yanagisawa et al.	Technology Center 2	
1		US- 6,262,719	07-17-2001	Bi et at.	Technology Center 2	
+		US- 6,279,014	08-21-2001	Schilit et al.		
-		US- 6,289,362	09-11-2001	Van Der Meer		
		US- 6,335,727	01-01-2002	Morishita et al.		
-		US- 6,340,967	01-22-2002	Maxted		
+		US- 6,405,221	06-11-2002	Levine et al.		
16		US- 6,571,295	05-27-2003	Sidana		
		US-				
		US-				
		us-				

		FOREIGN PAT		Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Examiner Initials*	Cite No.1	Country Code ³ - Number ⁴ - Kind Code ⁸ (if known)	Publication Oata MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	7°
¥r		WO 87/01481	03-12-1987	Stein		
yr.		WO 98/09446	03-05-1998	Но		
11-5		WO 96/20908	07-11-1996	Mikitenko et al.		
- 13 , /						<u>.</u>

Examiner Signature	itauh Chau	Date Considered	8/24/04

*EXAMINER: tritial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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C mplet If Known

Application Number 09/455,806

Filing Date December 7, 1999

First Named Inventor John L. BEEZER e FCELVE Coron Art Unit 2776 2171

Examiner Name H. Thai DEC 2, 4, 1003

Examiner Name H. Thai

Attorney Docket Number 003797.84617

Technology Center 2100

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T³
K	*Adobe Acrobat 3.0 Reader Online Guide*, Adobe Systems, Inc., pages 1-110		
		SOFTBOOK® Press, The Leader in Internet-Enabled Document Distribution and Reading Systems; 1999, http://www.softbook.com/consumer/reader.asp	
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	,	CRESPO, CHANG, and BIER, "Responsive interaction for a large Web application: the meteror shower architecture in the WebWriter II Editor", Computer Networks and ISDN Systems, pages 1508-1517	
		KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999	
	7	Open eBook Forum, Open eBook™ Publication Structure 1.0, Sep. 1999, http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm	
·		KRISTENSEN, A., "Formsheats and the XML Forms Language" (March 1999)	
	1	Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998	
	·	Dublin Core Meladata Elemant Set, Version 1.1: Reference Description 1999	
H	1	Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999	

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Examiner Signature	Hauhllen	Date Considered	8/25/04	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete If Known **Application Number** 09/455,806 **Filing Date** December 7, 1999 John L. BEEZER et al. First Named Inventor 2776 Group Art Unit **Examiner Name** H. Thai

(use as many sheets as necessary) Sheet

003797.84617 Attorney Docket Number

Center 2100

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Cite No.1				
1	Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteror shower architecture in the WebWriter II Editor; 1997, pages 1508-1517			
~	HIROTSU et al., "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359			
/	KUO et al., "A Synchronization Scheme for Multimedia Annotation", Multimedia Information Networking Laboratory, Tamkang University - Taiwan, IEEE, 1997, pages 594-598			
	ahal InkWriterO The simplicity of pen and paper, the power of word processing., Advertisement, 1993, 2 pp., ahal software corporation, Mountain View, CA			
	ahal InXWriter for Magic Cap "The fastest, most convenient way to create faxes, notes and messages." Advertisement, 1993, 1994, 2 pp., ahal software corporation, Mountain View, CA			
	ahal 2.0 for Windows InkWriterD. The power of word processing, the convenience of pen and paper, Advertisement, 1994, 1995, 2 pp., ahal software corporation, Mountain View, CA			
	ahal software products, Web Page List of Products, 9/24/97, pp. 1-5, ahal software corporation, Mountain View, CA			
· -				
		Cite No.* Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s). publisher, city and/or country where published. Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the materor shower architecture in the WebWriter II Editor": 1997, pages 1508-1517 HIROTSU et al., "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359 KUO et al., "A Synchronization Scheme for Multimedia Annotation", Multimedia Information Networking Laboratory, Tamkang University - Taiwan, IEEE, 1997, pages 594-598 ahal InkWriterO The simplicity of pen and paper, the power of word processing., Advertisement, 1993, 2 pp., ahal software corporation, Mountain View, CA ahal InkWriterO The Magic Cap "The fastest, most convenient way to create faxes, notes and messages." Advertisement, 1993, 1994, 2 pp., ahal software corporation, Mountain View, CA ahal 2.0 for Windows InkWriterO The power of word processing, the convenience of pen and paper, Advertisement, 1994, 1995, 2 pp., ahal software corporation, Mountain View, CA ahal software products, Web Page List of Products, 9/24/97, pp. 1-5, ahal software corporation, Mountain View, CA		

Examiner Signature	Harhlhar	Date Considered	8/25/04	

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Applicant's unique citation designation number (options). 2 Applicant is to place a check mark here if English language Translation is attached.

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Application Number:

09/455806

Confirmation Number:

5592

First Named Applicant:

John Beezer

Attorney Docket Number: 003797.84617

Art Unit:

2171

Examiner:

H Thai

Search string:

(6144991).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
H	1	6144991	2000-11-07	England		703	205

Remarks

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In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish

patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(b). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
Burhilhai	8/26/04

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